

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/652,370

IBM DOCKET NO. AUS920000537US1

DATE: October 18, 2004

DATE: October 18, 2004

Number of Pages to Follow (including this cover sheet) 5

RECEIVED
CENTRAL FAX CENTER
OCT 18 2004

SEND TO: United States Patent Office

Examiner: Farooq, Mohammad O.

Group Art Unit: 2182

Tel No: (703) 305-3888

Fax No: 703-872-9306

FROM: Joseph T. Van Leeuwen
Van Leeuwen & Van Leeuwen
Registered Patent Attorneys
6123 Pebble Garden Court
Austin, Texas 78739
Tel No: 512-301-6738
Fax No: 512-301-6742

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

Docket No. AUS920000537US1

Serial No. 09/652,370

Atty: MSW / JVL

Applicant: Allen, et al.

☒ Transmittal Letter (2 copies)

☒ Certificate of Facsimile

☐ Amendment (pages)

☐ Notice of Appeal

☐ Amendment AF

☐ Appeal Brief (3 copies)

☐ Ext. of Time

☐ Reply Brief

☒ IDS Statement

☐ Change of Address

☒ Form PTO-1449 (Modified)

☐ Other

Deposit Acct. No. 09-0447

Fees: Amendment Notice of Appeal Appeal Brief Other: \$180.00

Atty Ref. No. IBM-0034


COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/652,370

IBM DOCKET NO. AUS920000537US1

DATE: October 18, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing or Transmission	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
 Joseph T. Van Leeuwen	<u>10/18/04</u> Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Allen, et al.

For: System and Method for Efficient Management of Fibre Channel Communication


Enclosed are:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Modified form 1449 listing 2 references;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This Supplemental IDS is being filed pursuant to CFR § 1.197(c) and:
 - ☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and
 - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By 
Joseph T. Van Leeuwen
Attorney for Applicant
Registration No. 44,383
Telephone: (512) 301-6738
Facsimile: (512) 301-6742

Atty Ref. No. IBM-0034


COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/652,370

IBM DOCKET NO. AUS920000537US1

DATE: October 18, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing or Transmission	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
 Joseph T. Van Leeuwen	<u>10/18/04</u> Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Allen, et al.

For: System and Method for Efficient Management of Fibre Channel Communication

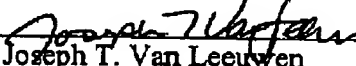
Enclosed are:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Modified form 1449 listing 2 references;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This Supplemental IDS is being filed pursuant to CFR § 1.197(c) and:
 - ☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and
 - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By 
Joseph T. Van Leeuwen
Attorney for Applicant
Registration No. 44,383
Telephone: (512) 301-6738
Facsimile: (512) 301-6742

Atty Ref. No. IBM-0034

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/652,370

IBM DOCKET NO. AUS920000537US1

DATE: October 18, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Group Art Unit: 2182
Allen, et. al.	:	Intellectual Property Law Department
Serial No.: 09/652,370	:	International Business
Filed: August 31, 2000	:	Machines Corporation
Title: <u>System and Method for Efficient</u>	:	Intellectual Property Law Dept.
<u>Management of Fibre Channel</u>	:	11400 Burnet Road
<u>Communication</u>	:	Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

Sir:


Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

This Supplemental Information Disclosure Statement is believed to be timely pursuant to 37 CFR § 1.97(b), therefore no additional fees are believed to be necessary. If, however, additional fees are deemed to be necessary, the Commissioner is hereby authorized to charge such fees to IBM's deposit account No. 09-0447.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By 
Joseph T. Van Leeuwen
Van Leeuwen & Van Leeuwen
Attorneys for Applicant
Registration No. 44,383
Telephone: (512) 301-6738
Facsimile: (512) 301-6742

Page 1 of 1

Atty Ref. No. IBM-0034

In Place of FORM PTO-1449 (Modified)

Serial No. 09/652,370Applicant: Allen, et. al.Filing Date: 8/31/2000Group: 2182Atty. Docket No. AUS920000537US1LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENTU. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
_____	AA 5,978,379	11-1999	Chan et al.	370	402	
_____	AB 6,138,161	10-2000	Reynolds et al.	709	227	
_____	AC					
_____	AD					
_____	AE					
_____	AF					
_____	AG					
_____	AH					
_____	AI					
_____	AJ					
_____	AK					
_____	AL					

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
_____	AM					
_____	AN					
_____	AO					
_____	AP					

Examiner
InitialOTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

_____	AQ
_____	AR
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.